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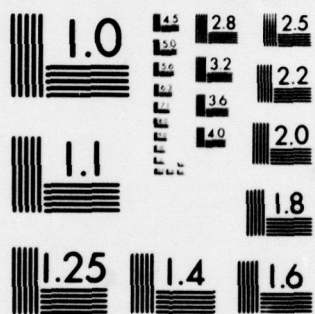
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REDUCTION OF RETICLE REFLECTANCE

by

Henry Blazek

and

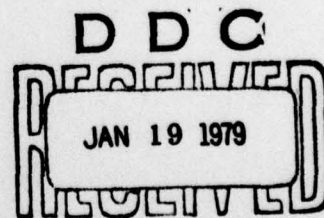
Robert Hunt

Electromechanical Division

Engineering Department

March 1975

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Naval Weapons Center
China Lake, California 93555

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The objective of the effort reported here is to minimize the relative reflectance of reticles used in electro-optic guidance systems. Relative reflectance is defined as the ratio of observed reflectance of a surface to that of a perfectly smooth surface of the same material using near normal incident radiation. There are two mechanisms which can be utilized to reduce the relative reflectance: scattering and absorption. The efforts described here were directed toward the development of a technique which emphasized the scattering mechanism. Scattering is dependent upon surface roughness, and in general one may state that the minimum RMS value of roughness to obtain less than 10% relative reflectance should be one-fifth the wavelength of the incident radiation. The objective is then narrowed to the problem of producing a sufficiently rough reticle pattern on a smooth transmitting substrate.

(10) Henry / Blazek Robert / Hunt

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FOREWORD

This report describes a process whereby reticles fabricated on sapphire substrates have low reflectivity from both front and back. This work was funded in part by the AIM-9L program. Work was begun in Fiscal Year 1973. Since this report discusses preliminary findings that are subject to modification, it is released at the working level.

M. K. Pladson
Head (Acting), Electromechanical Division
Engineering Department
26 March 1975

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REDUCTION OF RETICLE REFLECTANCE

OBJECTIVE

The objective of the effort reported here is to minimize the relative reflectance of reticles used in electro-optic guidance systems. Relative reflectance is defined as the ratio of observed reflectance of a surface to that of a perfectly smooth surface of the same material using near normal incident radiation. There are two mechanisms which can be utilized to reduce the relative reflectance: scattering and absorption. The efforts described here were directed toward the development of a technique which emphasized the scattering mechanism. Scattering is dependent upon surface roughness, and in general one may state that the minimum RMS value of roughness to obtain less than 10% relative reflectance should be one-fifth the wavelength of the incident radiation.¹ The objective is then narrowed to the problem of producing a sufficiently rough reticle pattern on a smooth transmitting substrate.

EXPERIMENTAL OBJECTIVES

In the evaluation of each of the proposed scattering techniques, it is necessary to take into consideration the following conditions:

1. Any reduction must extend from the visible out to 4.5 microns.
2. Assuming 4.0 microns as the primary wavelength and extrapolating from reference 1, the surface roughness desired must be 1 micron RMS.
3. Only the opaque portions of the reticle must be roughened.
4. Both the front surface and the interface boundary must be reduced in relative reflectance.
5. Edge resolution of the reticle pattern must be held to 0.25 micron (0.01 mil).

¹ H. E. Bennett, *J. Opt. Soc. Amer.*, Vol 53, No. 12 (December 1963), pp. 1389-94.

APPROACH

Consider Figure 1 which illustrates two aspects of reflection that are the basis for the need to reduce the reflectance of the reticle pattern. These reflected rays eventually reach the detector, producing optical noise and hence reducing the signal-to-noise ratio of the detection system. The front surface reflections (Ray A) can be dealt with in a straightforward manner. One need only consider those techniques that produce a rough front surface in the opaque reticle areas. The following techniques were evaluated with the experimental objectives as constraints:

1. grinding
2. sandblasting
3. ultrasonic grinding
4. laser blasting
5. chemical frosting
6. diffusion-etching
7. controlled film structure
 - a. sputter deposition
 - b. heat treatment

The best results were obtained by using sputtered films. The front surface roughness of these films is a function of the sputtering parameters and can be easily reproduced.² Figures 2 through 5 show the reduction of relative reflectance for the front surface of various sputtered films.

The second reflection (Ray B in Figure 1), described as the interface reflection since it occurs at the interface of the transmitting substrate and the reflecting film of the reticle pattern is a more difficult case, particularly when those techniques which preroughen the entire substrate are unusable. A solution to the interface reflection was developed when it was found that a reticle pattern photo etched in an aluminum film would produce a rough surface when properly heat-treated. In this technique the aluminum is oxidized to form the transparent aluminum oxide and hence must be recoated with a film to make the roughened areas opaque. The details of this technique are illustrated in Figures 6 and 7.

²Naval Weapons Center. Sputter Deposition of Zirconium and Titanium Onto Metal Cubes, by H. Blazek, C. Cutsinger, and G. Turner. China Lake, Calif., NWC, September 1972. (IDP 3391, publication UNCLASSIFIED.)

CONCLUSION

A technique of reticle fabrication which utilizes heat treatment of the aluminum pattern has been developed which significantly reduces the relative reflectance of the opaque portions of the pattern. The relative reflectance of the interface boundary (Figure 7) is reduced from 70% to 10% at 4 microns. This low-reflectance reticle technique should significantly reduce the optical noise in E/O seekers due to reflections from the interface boundary.

There is an indication in the relative reflectance data obtained on certain sputtered materials that absorption in a sputtered film can become a factor in reducing the relative reflectance. It is recommended that this be investigated in greater detail. A combined heat-treated sputter-coated reticle pattern may lead to further reduction of the relative reflectance of reticles.

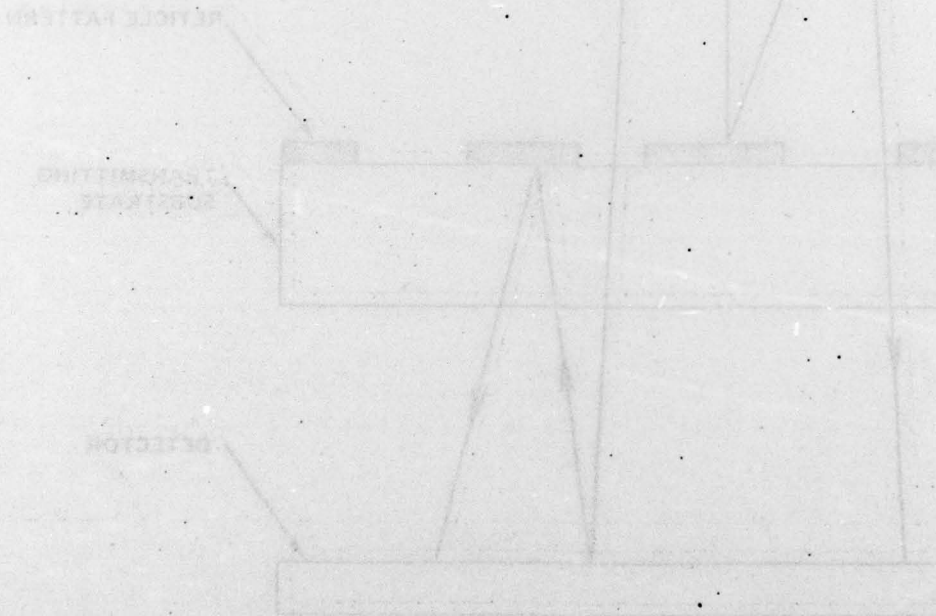


FIGURE 7. Reticle assembly showing reduction of optical noise.

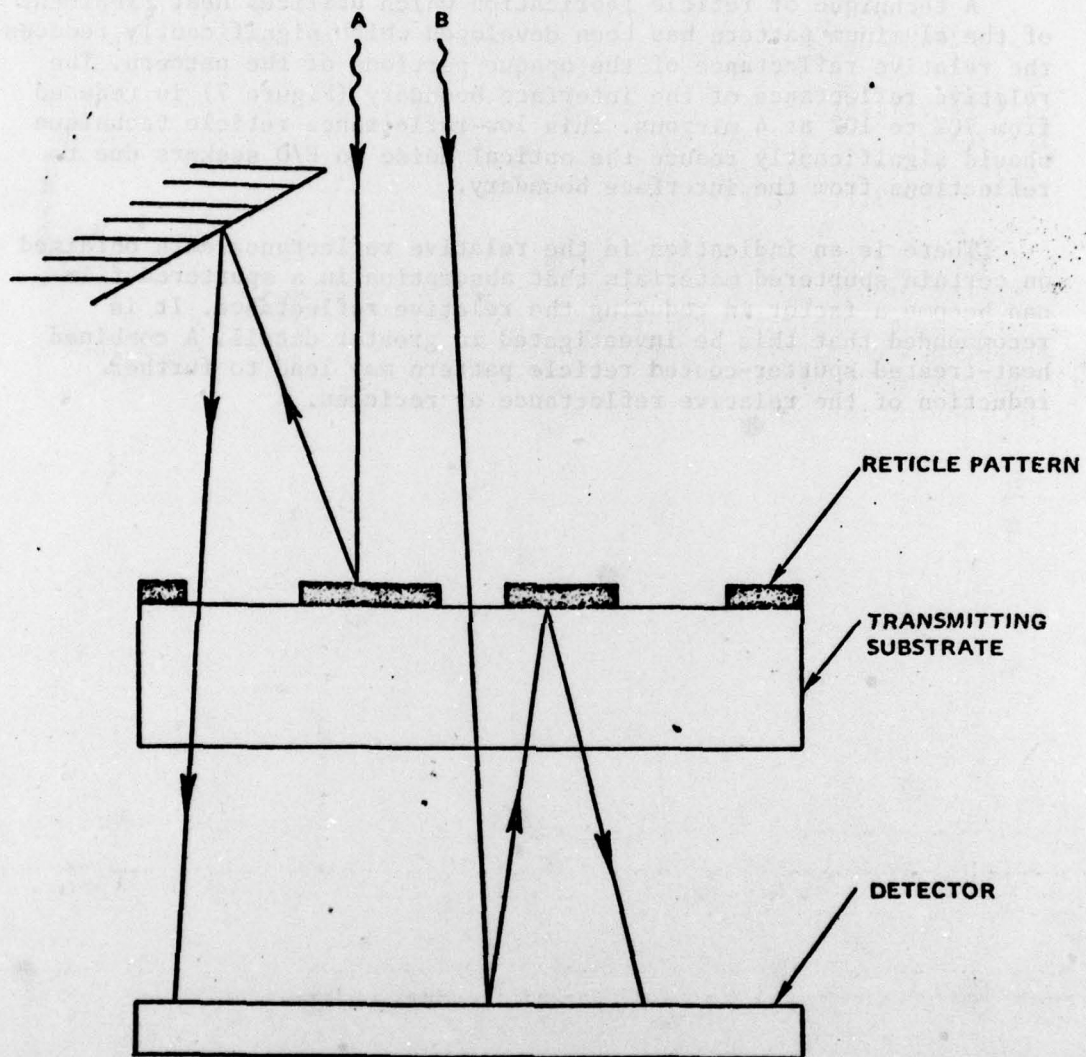


FIGURE 1. Reflections Contributing to Optical Noise.

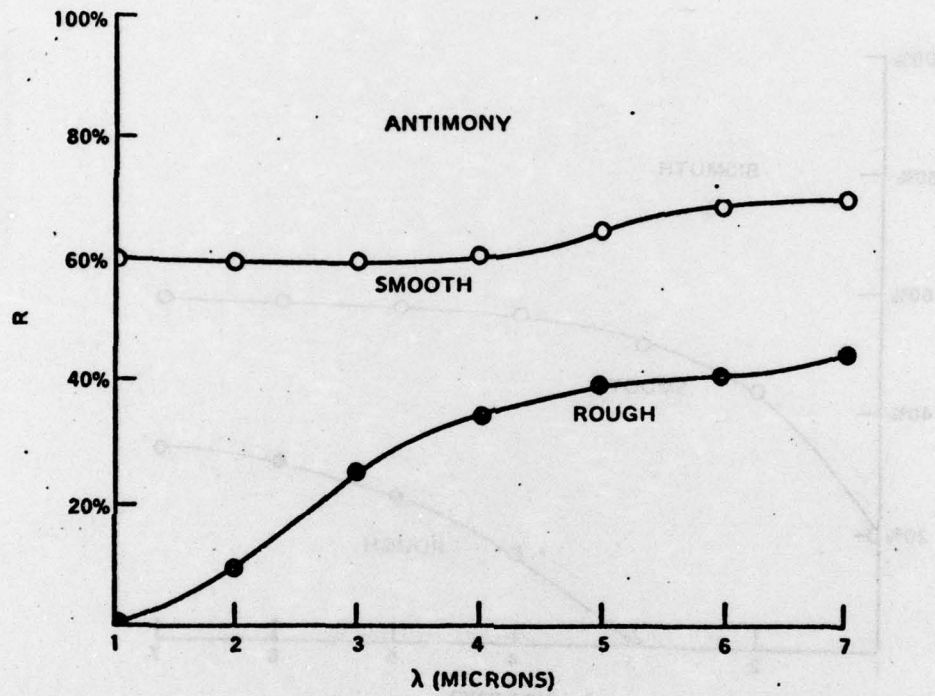


FIGURE 2. Reflectivity of Antimony on Quartz.

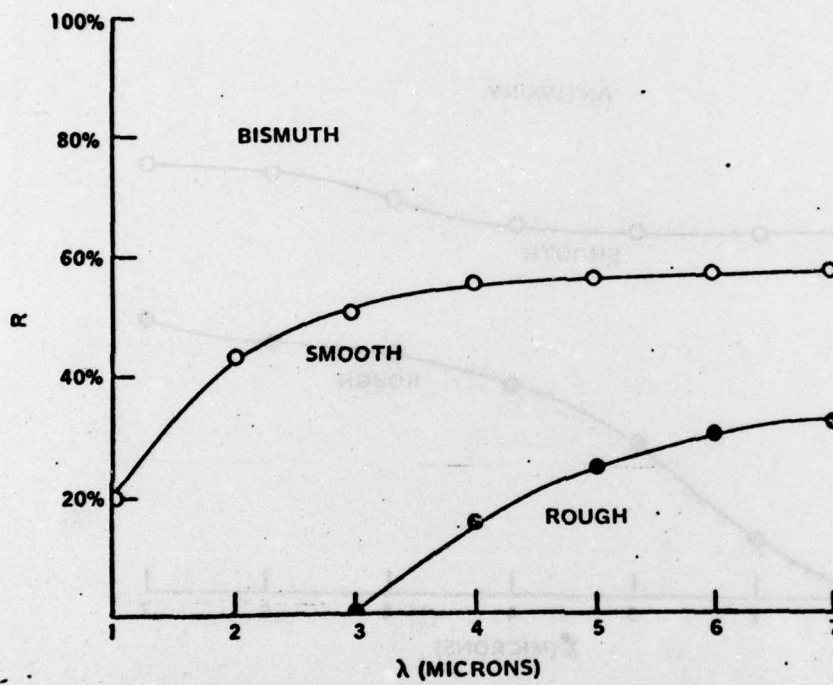


FIGURE 3. Reflectivity of Bismuth on Quartz.

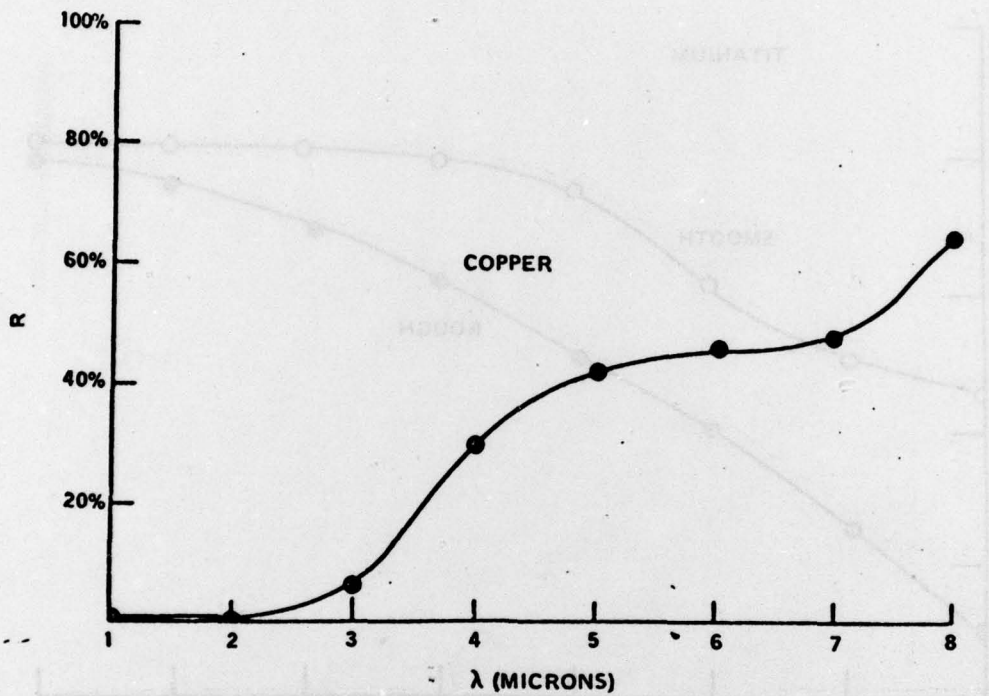


FIGURE 4. Reflectivity of Copper on Quartz.

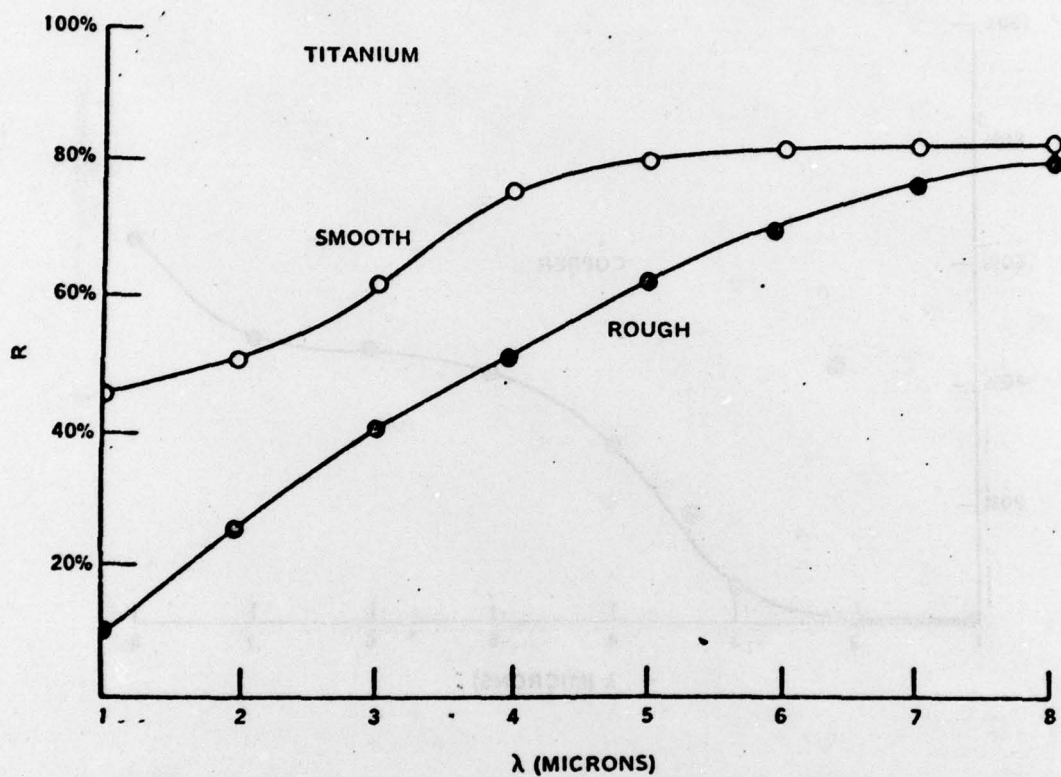
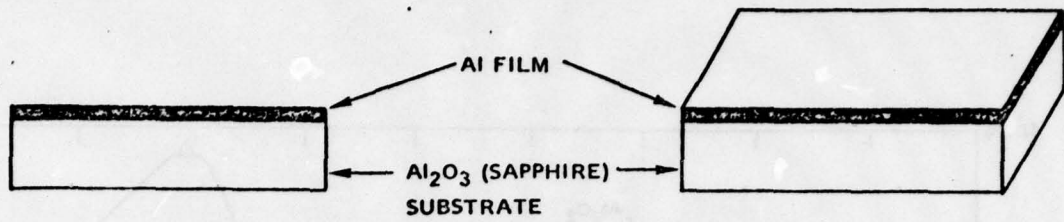


FIGURE 5. Reflectivity of Titanium on Quartz.

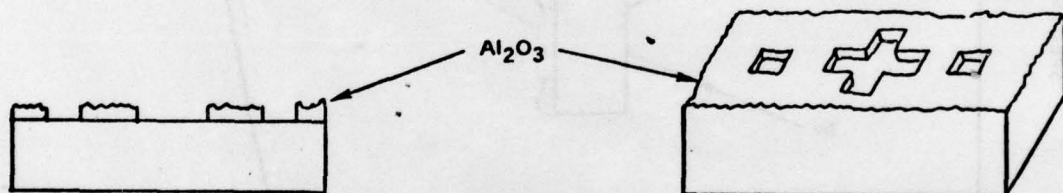
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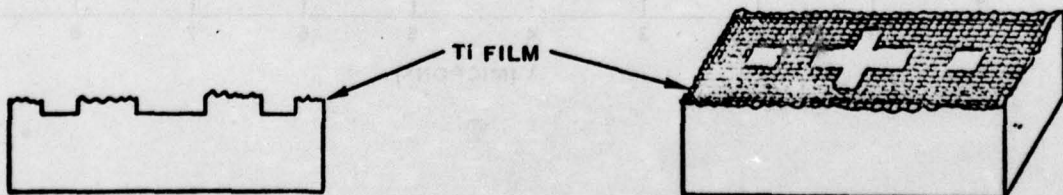
a. COATED SUBSTRATE



b. PHOTO ETCHED RETICLE PATTERN



c. HEAT TREATMENT:
 $\text{Al} \rightarrow \text{Al}_2\text{O}_3$
 820°
 O_2



d. RECOATED & PHOTO ETCHED

FIGURE 6. Aluminum Heat Treatment Technique.

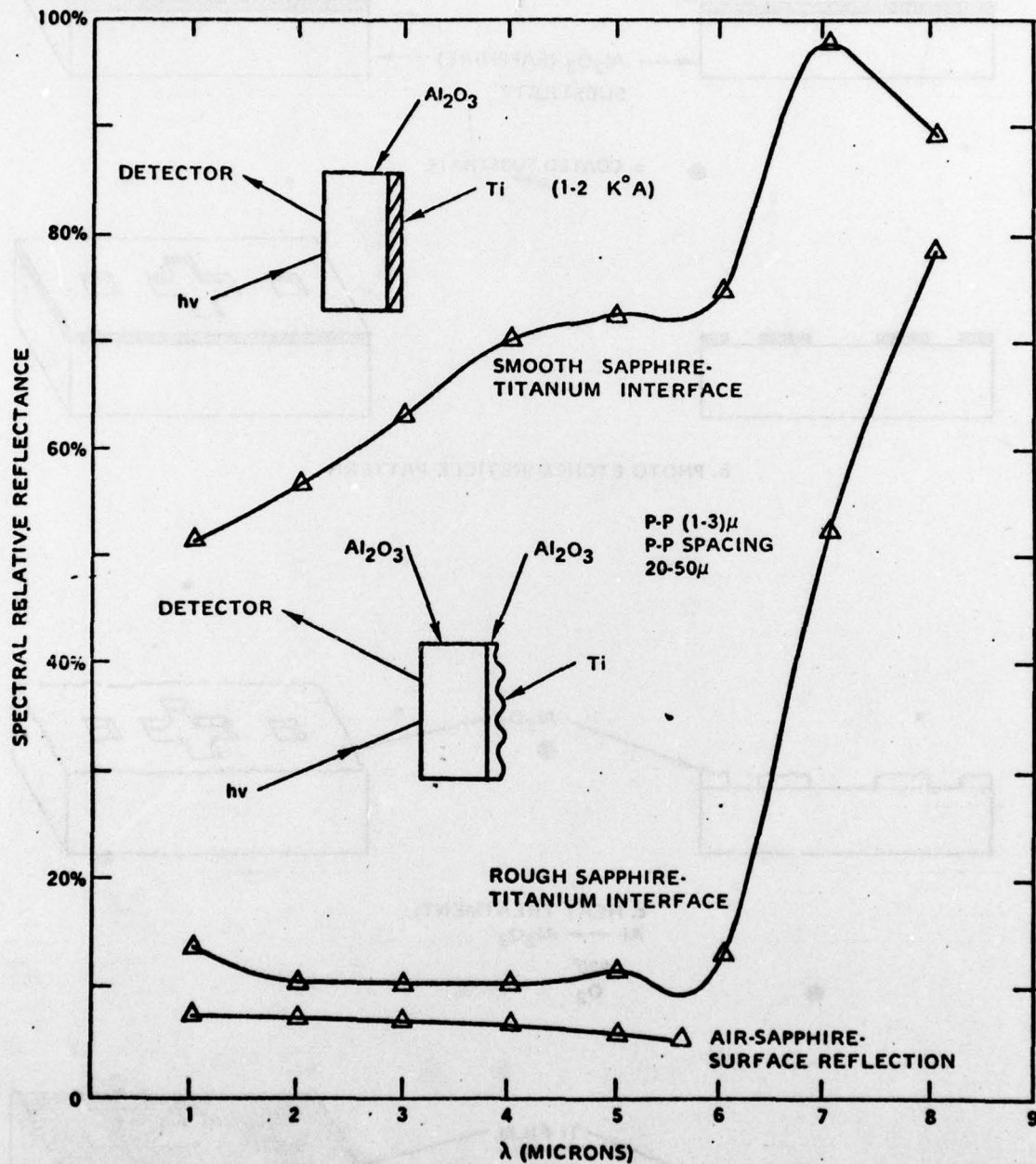


FIGURE 7. Low Reflectance by Diffuse Scattering.